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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : Sinichi ISHIBASHI et al.
Serial No. : 09/927,089
File Date : August 9, 2001
Title : METHOD OF MANUFACTURING THIN-FILM MAGNETIC
RECORDING MEDIUM
Art Unit : 1772 Examiner:
Docket No. : M1971-98

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Hon. Assistant Commissioner for Patents, Box: Missing Parts, Washington D.C. 20231 on:

Date : Oct. 17, 2001
By : Margaret L. Goldstein
Signature : *M. Goldstein*

Hon. Assistant Commissioner for Patents
Box: Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

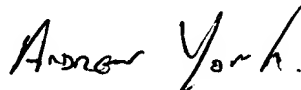
In response to the Notice to File Missing Parts of Application dated September 17, 2001, enclosed herewith please find the following:

- * Declaration and Power of Attorney;
- * Copy of Notice to File Missing Parts of Nonprovisional Application;
- * Check for \$870 to cover the large entity filing fee (\$740) and late filed Declaration surcharge (\$130).

Please charge any deficiencies or credit any overpayments to our Deposit Account No. 13-4550.

It is submitted that the enclosed documents satisfy all outstanding filing requirements in this application. A Filing Receipt is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Andrew F. Young".

Andrew F. Young, Esq.
Reg. No. 44,001
Attorney for Applicant

MORRISON LAW FIRM
145 North Fifth Avenue
Mount Vernon, New York 10550
(914) 667-6755

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UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/927,089 | 08/09/2001 | Sinichi Ishibashi | M1971-98 |

CONFIRMATION NO. 4097

FORMALITIES LETTER



OC000000006566958

MORRISON LAW FIRM
145 North Fifth Avenue
Mt. vernon, NY 10550

Date Mailed: 09/17/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/22/2001 STEUMEL1 00000048 09927089

01 FC:101 740.00 DP
02 FC:105 130.00 DP